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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Vlad Novotny
Assignee: Active Optical Networks, Inc.
Title: "Optical Switches with Uniaxial Mirrors"
Serial No.: 10/751,160 Filed: 12/31/2003
Examiner: Unknown Tel: Unknown
Docket No.: AO-008 Art Unit: 2874

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Sir:

Pursuant to 37 C.F.R. §1.56, §1.97 and §1.98, Applicant brings the 13 documents listed on the enclosed form PTO/SB/08A to the Examiner's attention in the above-captioned application. Citation of the listed documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant application;
2. a representation that a search has been made; or
3. an admission that the information cited is, or is considered to be, material to patentability as defined in §1.56(b).

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12/14/2004 
Date Laurie Moreno

Respectfully submitted,



Arthur J. Behiel
Attorney for Applicants
Reg. No. 39,603



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number	10/751,160
Filing Date	12/31/2003
First Named Inventor	Vlad Novotny
Art Unit	2874
Examiner Name	Unknown
Attorney Docket Number	AO-008

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	1	US- 6,337,760 B1	01/08/2002	Huibers et al.	
	2	US- 6,430,331 B1	08/06/2002	Hagelin et al.	
	3	US- 6,697,547 B2	02/24/2004	Walter et al.	
	4	US- 6,542,665 B2	04/01/2003	Reed et al.	
	5	US- 6,172,817 B1	01/09/2001	Senapati et al.	
	6	US- 5,841,917	11/24/1998	Jungerman et al.	
	7	US- 6,549,691 B1	04/15/2003	Street et al.	
	8	US- 6,628,857 B1	09/30/2003	Bonadeo et al.	
	9	US- 6,707,594 B2	03/16/2004	Holmes	
	10	US- 6,760,505 B1	07/06/2004	Street et al.	
	11	US- 6,445,844 B1	09/03/2002	Neukermans et al.	
	12	US- 5,724,015	03/03/1998	Tai et al.	
	13	US- 5,506,394	04/09/1996	Plesko	
		US-			
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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TRANSMITTAL FORM

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Total Number of Pages in This Submission 3

Application Number	10/751,160
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First Named Inventor	Vlad Novotny
Art Unit	2874
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Attorney Docket Number	AO-008

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1. PTO/SB/08A (1 page). 2. Return Receipt Postcard
<div>Remarks</div>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Silicon Edge Law Group LLP, Arthur J. Behiel, Patent Attorney		
Signature			
Printed name	Arthur J. Behiel		
Date	12/14/2004	Reg. No.	39,603

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